

Report of Persons Occupying Managerial Positions Who Are Related To A Director, CEO or Substantial Shareholder

| Name | Age | Family relationship with any director, CEO and / or substantial shareholder | Current position and duties, and the year the position was first held | Details of changes in duties and position held, if any, during the year |
|------------------|-----|--|--|---|
| Mr. Lim Seng Bee | 44 | Brother-in-law of Wee Ee Chao (Chairman and substantial shareholder) | Managing Director of UOB Kay Hian (Hong Kong) Ltd since 2001. - responsible for the management and business development of the Hong Kong subsidiary - Executive Director of UOB Kay Hian Private Limited since June 2005. - involved in general management of the Singapore operations | N.A |